

IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Javier B. ARELLANO et al.

Group Art Unit : 2178

Appl. No. : 10/736,690

Examiner : Not Yet Assigned

Filed : December 17, 2003

Confirmation No. : 4131

For : SYSTEM AND METHODS FOR AN ARCHITECTURAL
FRAMEWORK FOR DESIGN OF AN ADAPTIVE, PERSONALIZED,
INTERACTIVE CONTENT DELIVERY SYSTEM

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
U.S. Patent and Trademark Office
220 20th Street S.
Customer Window, Mail Stop _____
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

Sir :

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98
and supplemental to the Information Disclosure Statement that was filed on July 1, 2004,
Applicants call to the Examiner's attention the following documents:

U.S. Patent Application Publication No. 2001/14863 to WILLIAMS III, which
was published on August 16, 2001;

U.S. Patent Application Publication No. 2002/0055868 to DUSEVIC et al., which
was published on May 9, 2002;

U.S. Patent Application Publication No. 2002/0196277 to BUSHEY et al., which
was published on December 26, 2002;

U.S. Patent Application Publication No. 2003/0156133 to MARTIN et al., which was published on August 21, 2003;

U.S. Patent Application Publication No. 2004/0032935 to MILLS et al., which was published on February 19, 2004;

U.S. Patent Application Publication No. 2004/0042592 to KNOTT et al., which was published on March 4, 2004;

U.S. Patent Application Publication No. 2004/0006473 to MILLS et al., which was published on January 8, 2004;

U.S. Patent No. 4,930,077 to FAN, which issued on May 29, 1990;

U.S. Patent No. 5,181,259 to RORVIG, which issued on January 19, 1993;

U.S. Patent No. 5,371,673 to FAN, which issued on December 6, 1994;

U.S. Patent No. 5,633,909 to FITCH, which issued on May 27, 1997;

U.S. Patent No. 5,671,351 to WILD et al., which issued on September 23, 1997;

U.S. Patent No. 5,822,397 to NEWMAN, which issued on October 13, 1998;

U.S. Patent No. 5,835,565 to SMITH et al., which issued on November 10, 1998;

U.S. Patent No. 5,872,865 to NORMILE et al., which issued on February 16, 1999;

U.S. Patent No. 5,873,068 to BEAUMONT et al., which issued on February 16, 1999;

U.S. Patent No. 5,905,774 to TATCHELL et al., which issued on May 18, 1999;

U.S. Patent No. 5,963,965 to VOGEL, which issued on October 5, 1999;

U.S. Patent No. 6,026,381 to BARTON III et al., which issued on February 15, 2000;

U.S. Patent No. 6,032,129 to GREEF et al., which issued on February 29, 2000;
U.S. Patent No. 6,035,283 to ROFRANO, which issued on March 7, 2000;
U.S. Patent No. 6,038,560 to WICAL, which issued on March 14, 2000;
U.S. Patent No. 6,058,435 to SASSIN et al., which issued on May 2, 2000;
U.S. Patent No. 6,160,877 to TATCHELL et al., which issued on December 12,
2000;

U.S. Patent No. 6,219,665 to SHIOMI, which issued on April 17, 2001;
U.S. Patent No. 6,230,197 to BECK et al., which issued on May 8, 2001;
U.S. Patent No. 6,243,375 to SPEICHER, which issued on June 5, 2001;
U.S. Patent No. 6,249,579 to BUSHNELL, which issued on June 19, 2001;
U.S. Patent No. 6,278,976 to KOCHIAN, which issued on August 21, 2001;
U.S. Patent No. 6,289,084 to BUSHNELL, which issued on September 11, 2001;
U.S. Patent No. 6,292,909 to HARE, which issued on September 18, 2001;
U.S. Patent No. 6,296,376 to KONDO et al., which issued on October 2, 2001;
U.S. Patent No. 6,308,172 to AGRAWAL et al., which issued on October 23,
2001;

U.S. Patent No. 6,336,109 to HOWARD, which issued on January 1, 2002;
U.S. Patent No. 6,353,825 to PONTE, which issued on March 5, 2002;
U.S. Patent No. 6,357,017 to BEREITER et al., which issued on March 12, 2002;
U.S. Patent No. 6,366,879 to COXHEAD et al., which issued on April 2, 2002;
U.S. Patent No. 6,374,260 to HOFFERT et al., which issued on April 16, 2002;
U.S. Patent No. 6,400,807 to HEWITT et al., which issued on June 4, 2002;
U.S. Patent No. 6,405,149 to TSAI et al., which issued on June 11, 2002;

U.S. Patent No. 6,405,170 to PHILLIPS et al., which issued on June 11, 2002;

U.S. Patent No. 6,434,714 to LEWIS et al., which issued on August 13, 2002;

U.S. Patent No. 6,516,051 to SANDERS, which issued on February 4, 2003; and

U.S. Patent No. 6,598,022 to YUSCHIK, which issued on July 22, 2003.

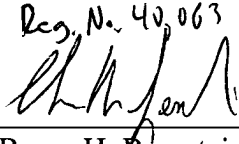
Further to the U.S. Patent and Trademark Office's decision to waive the requirement under 37 C.F.R. §1.98 (a)(2)(i), copies of the above-noted U.S. patents and U.S. patent application publication are not enclosed. However, if any copies are needed, the Examiner is respectfully requested to contact the undersigned.

Applicants respectfully request that the Examiner consider the above material and cite the same. The above-noted documents are listed on the attached PTO-1449 Form. The Examiner is requested to initial the appropriate spaces on the attached Form and to return a copy of the completed Form to Applicants with the next official communication in the present application.

Applicants note that an Office Action on the merits has not issued in the present application, and thus no fee is believed necessary to ensure consideration of the submitted material. However, if an Office Action on the merits has issued and is crossing this statement in the mail, the undersigned hereby authorizes the Commissioner to charge any fee necessary for the consideration of this statement, including any payment under 37 C.F.R. §1.17 (p) to Deposit Account No. 19-0089.

Should the Examiner have any questions, the Examiner is invited to contact the undersigned at the below-listed telephone number.

Respectfully Submitted,
Javier B. ARELLANO et al.

Reg. No. 40,063


Bruce H. Bernstein
Reg. No. 29,027

September 20, 2004
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FORM PTO-1449

U.S. Department of Commerce
Patent and Trademark OfficeAtty. Docket No.
P24306Application No.
10/736,690INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(Use several sheets if necessary)

Applicant
Javier B. ARELLANO et al.Filing Date
December 17, 2003Group
2178

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2001	/		1	4	8	6	3	08/16/01	WILLIAMS III			
	2002/	0	0	5	5	8	6	8	05/09/02	DUSEVIC et al.			
	2002/	0	1	9	6	2	7	7	12/26/02	BUSHEY et al.			
	2003/	0	1	5	6	1	3	3	08/21/03	MARTIN et al.			
	2004/	0	0	3	2	9	3	5	02/19/04	MILLS et al.			
	2004/	0	0	4	2	5	9	2	03/04/04	KNOTT et al.			
	2004/	0	0	0	6	4	7	3	01/08/04	MILLS et al.			
		4	9	3	0	0	7	7	05/29/90	FAN			
		5	1	8	1	2	5	9	01/19/93	RORVIG			
		5	3	7	1	6	7	3	12/06/94	FAN			
		5	6	3	3	9	0	9	05/27/97	FITCH			
		5	6	7	1	3	5	1	09/23/97	WILD et al.			
		5	8	2	2	3	9	7	10/13/98	NEWMAN			
		5	8	3	5	5	6	5	11/10/98	SMITH et al.			
		5	8	7	2	8	6	5	02/16/99	NORMILE et al.			
		5	8	7	3	0	6	8	02/16/99	BEAUMONT et al.			
		5	9	0	5	7	7	4	05/18/99	TATCHELL et al.			
		5	9	6	3	9	6	5	10/05/99	VOGEL			
		6	0	2	6	3	8	1	02/15/00	BARTON III et al.			
		6	0	3	2	1	2	9	02/29/00	GREEF et al.			
		6	0	3	5	2	8	3	03/07/00	ROFRANO			
		6	0	3	8	5	6	0	03/14/00	WICAL			
		6	0	5	8	4	3	5	05/02/00	SASSIN et al.			
		6	1	6	0	8	7	7	12/12/00	TATCHELL et al.			
		6	2	1	9	6	6	5	04/17/01	SHIOMI			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

U.S. Department of Commerce
Patent and Trademark OfficeAtty. Docket No.
P24306Application No.
10/736,690INFORMATION DISCLOSURE STATEMENT
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(Use several sheets if necessary)

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	6 2 3 0 1 9 7	05/08/01	BECK et al.			
	6 2 4 3 3 7 5	06/05/01	SPEICHER			
	6 2 4 9 5 7 9	06/19/01	BUSHNELL			
	6 2 7 8 9 7 6	08/21/01	KOCHIAN			
	6 2 8 9 0 8 4	09/11/01	BUSHNELL			
	6 2 9 2 9 0 9	09/18/01	HARE			
	6 2 9 6 3 7 6	10/02/01	KONDO et al.			
	6 3 0 8 1 7 2	10/23/01	AGRAWAL et al.			
	6 3 3 6 1 0 9	01/01/02	HOWARD			
	6 3 5 3 8 2 5	03/05/02	PONTE			
	6 3 5 7 0 1 7	03/12/02	BEREITER et al.			
	6 3 6 6 8 7 9	04/02/02	COXHEAD et al.			
	6 3 7 4 2 6 0	04/16/02	HOFFERT et al.			
	6 4 0 0 8 0 7	06/04/02	HEWITT et al.			
	6 4 0 5 1 4 9	06/11/02	TSAI et al.			
	6 4 0 5 1 7 0	06/11/02	PHILLIPS et al.			
	6 4 3 4 7 1 4	08/13/02	LEWIS et al.			
	6 5 1 6 0 5 1	02/04/03	SANDERS			
	6 5 9 8 0 2 2	07/22/03	YUSCHIK			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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DATE CONSIDERED

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